PCT

NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU

I To:

LUNDBLAD VANNESJÖ, Katarina ABB AB

Patent

S-721 78 Västerås

SUÈDE

Ankom KN 2000 -03- 17

Date of mailing (day/month/year)

09 March 2000 (09.03.00)

Applicant's or agent's file reference

KN 8493 WO

IMPORTANT NOTICE

International application No.

International filing date (day/month/year)

Priority date (day/month/year)
28 August 1998 (28.08.98)

PCT/SE99/01487

30 August 1999 (30.08.99)

August 1999 (30.08.99) 28 August 1998 (

Applicant

ASEA BROWN BOVERI AB et al

 Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice: AU,CN,EP,JP,KP,KR,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,CA,CH,CU,CZ,DE,DK,EA,EE,ES,FI,GB,GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MD,MG,MK,MN,MW,MX,NO,NZ,OA,PL,PT,RO,PL,SD,SE,SG,SLSK,SLT,LTM,TB,TT,LIA,LIG,LIZ,VN,YL,ZA,ZW

RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,UA,UG,UZ,VN,YU,ZA,ZW
The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 09 March 2000 (09.03.00) under No. WO 00/13292

#### REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

#### REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

J. Zahra

Facsimile No. (41-22) 740.14.35

Telephone No. (41-22) 338.83.38



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference KN8493WO	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/4)							
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)					
PCT/SE99/01487	30.08.1999		28.08.1998					
International Patent Classification (IPC) of	r national classification a	nd IPC7						
н 02 к 1/06, н 02 к 1	5/02							
Applicant								
ABB AB et al	ABB AB et al							
<u></u>								
	<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>							
2. This REPORT consists of a total of	of 3 sheets	s, including this cover	sheet.					
	pasis for this report and/or	sheets containing rec	on, claims and/or drawings which have tifications made before this Authority he PCT).					
These annexes consist of a total o	f 3 sheets	s.						
3. This report contains indications re	lating to the following ite	ms:						
I Basis of the report								
II Priority								
III Non-establishment of	f opinion with regard to no	ovelty, inventive step	and industrial applicability					
IV Lack of unity of inve	ntion							
	under Article 35(2) with re		ntive step or industrial applicability;					
VI Certain documents ci	ted							
VII Certain defects in the	international application							
VIII Certain observations	on the international applic	cation						
Date of submission of the demand  Date of completion of this report								
16.03.2000 18.12.2000								
Name and mailing address of the IPEA/SE		Authorized officer						
Patent- och registreringsverket Box 5055	Telex 17978							
S-102 42 STOCKHOLM Facsimile No. 08-667 72 88	PATOREG-S	Håkan Sandh/MN Telephone No. 08-782 25 00						

 $2 \leq r^{\frac{1}{2} - \epsilon}$ 

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Inter	al application No.
PCT	/SE99/01487

<b>/1</b> .	Bas	is of the rep	ort	
1.	With	regard to the	e elements of the international application:*	
		the internat	tional application as originally filed	
	X	the descript	tion:	•
	<u>12</u>	pages 1-		, as originally filed
				, filed with the demand
		pages		, filed with the letter of
	$\boxtimes$	the claims:	•	
		pages		, as originally filed
		pages		, as amended (together with any statement) under article 19
		pages		, filed with the demand
	$\overline{}$	pages 17	7-19	, filed with the letter of 14.12.2000
	Ш	the drawing	•	on originally filed
		pages	· · · · · · · · · · · · · · · · · · ·	, as originally filed
		pages		, filed with the letter of
	П		e listing part of the description:	
	LJ			, as originally filed
		pages		, filed with the demand
		pages		, filed with the letter of
t	he in	ternational ap elements we		available or furnished to this Authority in the language in which under this item.  following language which is:
	님		e of publication of the international application (	
	님	_	- · · · · · · · · · · · · · · · · · · ·	international preliminary examination (under Rules 55.2 and/
	Ш	or 55.3).	• • • • • • • • • • • • • • • • • • •	, siemannia (militaria siemannia (militaria siemannia si
			nucleotide and/or amino acid sequence disclonation was carried out on the basis of the sequen	sed in the international application, the international ce listing:
		contained in	the international application in written form.	
		filed togethe	er with the international application in computer	readable form.
i	$\overline{\Box}$	furnished su	absequently to this Authority in written form.	
	$\Box$	furnished su	absequently to this Authority in computer readab	le form.
		internationa	ll application as filed has been furnished. ent that the information recorded in computer rea	nce listing does not go beyond the disclosure in the dable form is identical to the written sequence listing has
4.		The amendr	ments have resulted in the cancellation of:	•
		the	description, pages	
		the	claims, Nos.	
			drawings, sheet/fig	
5.		This report	•	ents had not been made, since they have been considered to go
4	in thi	acement shee	ts which have been furnished to the receiving Of	fice in response to an invitation under Article 14 are referred to since they do not contain amendments (Rules 70.16
		•	sheet containing such amendments must be refer	red to under item I and annexed to this report.

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/SE 99/01487

### A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H02K 1/06, H02K 15/02
According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

#### IPC7: H02K

14.32

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

#### SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5204570 A (S.R. GERFAST), 20 April 1993 (20.04.93), column 1, line 49 - column 3, line 23	1-16
A	US 4620120 A (K. LAING), 28 October 1986 (28.10.86), column 1, line 39 - column 2, line 16, figure 2	1-16
A	US 5410232 A (KOK-MENG LEE), 25 April 1995 (25.04.95), column 5, line 22 - line 64, figures 1A,B	1-16

		Further documents are listed in the continuation of Box	C.	X See patent family annex.
	*	Special categories of cited documents:	"T"	later document published after the international filing date or priority
	″A″	document defining the general state of the art which is not considered to be of particular relevance		date and not in conflict with the application but cited to understand the principle or theory underlying the invention
	"E"	erlier document but published on or after the international filing date	"X"	document of particular relevance: the claimed invention cannot be
	"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other		considered novel or cannot be considered to involve an inventive step when the document is taken alone
Į		special reason (as specified)	"Y"	document of particular relevance: the claimed invention cannot be
	"O"	document referring to an oral disclosure, use, exhibition or other means		considered to involve an inventive step when the document is combined with one or more other such documents, such combination
Į	"P"	document published prior to the international filing date but later than		being obvious to a person skilled in the art
ı		the priority date claimed	"&"	document member of the same patent family

Date of the actual completion of the international search Date of mailing of the international search report **07** -03- 2000 25 February 2000 Name and mailing address of the ISA/ Authorized officer

**Swedish Patent Office** Box 5055, S-102 42 STOCKHOLM Håkan Sandh/ae Facsimile No. +46 8 666 02 86 Telephone No. +46 8 782 25 00

Form PCI/ISA/210 (second sheet) (July 1992)



International application No.

PCT/SE 99/01487 02/12/99

	atent document d in search repor	rt	Publication date	Patent family member(s)	Publication date
US	5204570	A	20/04/93	NONE	
US	4620120	A	28/10/86	DE 3538506 A,C GB 2182498 A,B	05/06/86 13/05/87
US	5410232	Α	25/04/95	NONE	

Form PCT/ISA/210 (patent family annex) (July 1992)



#### From the INTERNATIONAL BUREAU PCT NOTIFICATION OF THE RECORDING LUNDBLAD VANNESJÖ, Katarina **OF A CHANGE** ABB AB (PCT Rule 92bis.1 and Patent S-721 78 Västerås Administrative Instructions, Section 422) SUÈDE Date of mailing (day/month/year) 07 June 2000 (07.06.00) Applicant's or agent's file reference **IMPORTANT NOTIFICATION** KN 8493 WO International filing date (day/month/year) International application No. PCT/SE99/01487 30 August 1999 (30.08.99) 1. The following indications appeared on record concerning: X the applicant the inventor the agent the common representative State of Nationality State of Residence Name and Address ASEA BROWN BOVERI AB S-721 83 Västerås SE SE Telephone No. Sweden Facsimile No. Teleprinter No. 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning: X the name the person the address the nationality the residence State of Nationality State of Residence Name and Address SF SE S-721 83 Västerås Telephone No. Sweden Facsimile No. Teleprinter No. 3. Further observations, if necessary: 4. A copy of this notification has been sent to: X the receiving Office the designated Offices concerned the International Searching Authority the elected Offices concerned the International Preliminary Examining Authority other: Authorized officer The International Bureau of WIPO 34, chemin des Colombettes A. Karkachi 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35 Telephone No.: (41-22) 338.83.38

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# PATENT COOPERATION TREA PCT

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#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

15

A . 1:						
Applicant's or agent's file reference KN8493WO	FOR FURTHER AC		cation of Transmittal of International y Examination Report (Form PCT/IPEA/416)			
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)			
PCT/SE99/01487	30.08.1999		28.08.1998			
International Patent Classification (IPC) o	r national classification a	nd IPC7				
н 02 к 1/06, н 02 к 1	5/02	ŕ				
Applicant						
ABB AB et al						
	<del></del>					
This international preliminary exa     Authority and is transmitted to the	mination report has been applicant according to A	prepared by this Inte article 36.	rnational Preliminary Examining			
2. This REPORT consists of a total of	of 3 sheets	s, including this cove	r sheet.			
This report is also accompa- been amended and are the b (see Rule 70.16 and Section	asis for this report and/or	sheets containing re-	tion, claims and/or drawings which have ctifications made before this Authority the PCT).			
These annexes consist of a total of	f 3 sheets	i.				
3. This report contains indications re-	lating to the following iter	ms:				
I Basis of the report						
II Priority						
III Non-establishment of	opinion with regard to no	ovelty, inventive step	and industrial applicability			
IV Lack of unity of inver	ntion					
V Reasoned statement u	nder Article 35(2) with re ions supporting such state	egard to novelty, inve	entive step or industrial applicability;			
VI Certain documents cit						
VII Certain defects in the	international application					
VIII Certain observations of	on the international applic	ation				
t						
Date of submission of the demand		Date of completion	of this report			
		Date of completion	or and report			
16.03.2000		18.12.2000				
Name and mailing address of the IPEA/SE		Authorized officer				
Patent- och registreringsverket Box 5055	Telex 17978					
S-102 42 STOCKHOLM		Håkan Sandh/MN				
Facsimile No. 08-667 72 88		Telephone No. 08-				

I.	Bas	sis of the report	
1.	With	regard to the elements of the international application:*	
		the international application as originally filed	
	$\square$	the description:	
			, as originally filed
			£1 - 1 1 1
		pages	
	$\boxtimes$	the claims:	
		pages	, as originally filed
			, as amended (together with any statement) under article 19
		pages	filed with the demand
		pages 17-19	, filed with the letter of 14.12.2000
		the drawings:	
		pages	, as originally filed
		pages	C1 - 4 41 - 4 1
			, filed with the letter of
		the sequence listing part of the description:	
		pages	, as originally filed
		pages	
			, filed with the letter of
1	the in	nternational application was filed, unless otherwise indicated e elements were available or furnished to this Authority in the the language of a translation furnished for the purposes of the language of publication of the international application	the following language which is: international search (under Rule 23.1(b)).
		regard to any nucleotide and/or amino acid sequence disc minary examination was carried out on the basis of the seque	
		contained in the international application in written form.	
	$\Box$	filed together with the international application in compute	er readable form.
	同	furnished subsequently to this Authority in written form.	
	一	furnished subsequently to this Authority in computer reads	able form.
:		The statement that the subsequently furnished written sequinternational application as filed has been furnished.	
4.		The amendments have resulted in the cancellation of:	
		the description, pages	
		the claims, Nos. the drawings, sheet/fig	
5.		This report has been established as if (some of) the amenda beyond the disclosure as filed, as indicated in the Supplem	ments had not been made, since they have been considered to go ental Box (Rule 70.2 (c)).**
	in thi	acement sheets which have been furnished to the receiving ( is report as "originally filed" and are annexed to this report 70.17).	Office in response to an invitation under Article 14 are referred to t since they do not contain amendments (Rules 70.16
**	Any r	replacement sheet containing such amendments must be refe	erred to under item I and annexed to this report.

V.	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability.
	citations and explanations supporting such statement

1.	Statement			
	Novelty (N)	Claims Claims	1-19	YES NO
	Inventive step (IS)	Claims Claims	1-19	YES NO
	Industrial applicability (IA)	Claims Claims	1-19	YES NO

#### 2. Citations and explanations (Rule 70.7)

The invention relates to a rotating electric machine comprising a stator and a rotor. The invention has the intention to efficient utilisation of induced forces between the rotor and the stator. According to the invention the stator, including core of magnetizable material and a winding, comprises a rounded cavity surrounding the rotor at all sides.

#### Document cited in the International Search Report

D1: US 5 204 570 A
D2: US 4 620 120 A
D3: US 5 410 232 A

Document D1 discloses a dynamo-electric machine with ironless stator coils. The machine contains a spherical rotor with a permanent magnet surrounded by two cup-shaped ironless spiral coils. The rotor also comprises an outer domelike ferromagnetic shell (see column1, lines 56-68, column 2, lines 1-4, column 3, lines 54-66; fig. 1 and 8).

Document D1 does not use a stator with iron core in. In the known machine does the stator not include a core of a magnetizable material. There is no indication in the prior art to provide the known machine with such a stator. Therefore, it is not considered obvious to the skilled person to modify the known machine in D1 to a machine with the stator including a core of a magnetizable material.

The invention according to claims 1-19 is thus novel and is considered to involve an inventive step. The invention is an industrial applicable.

# **PCT**

# ORLD INTELLECTUAL PROPERTY ORGANIZA International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: H02K 1/06, 15/02

**A3** 

(11) International Publication Number:

WO 00/13292

(43) International Publication Date:

9 March 2000 (09.03.00)

(21) International Application Number:

PCT/SE99/01487

(22) International Filing Date:

30 August 1999 (30.08.99)

(30) Priority Data:

9802881-4

28 August 1998 (28.08.98)

SE

(71) Applicant (for all designated States except US): ASEA BROWN BOVERI AB [SE/SE]; S-721 83 Västerås (SE).

(72) Inventor; and

(75) Inventor/Applicant (for US only): LEIJON, Mats [SE/SE]; Hyvlargatan 5, S-723 35 Västerås (SE).

(74) Agents: LUNDBLAD VANNESJÖ, Katarina et al.; ABB AB, Patent, S-721 78 Västerås (SE).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

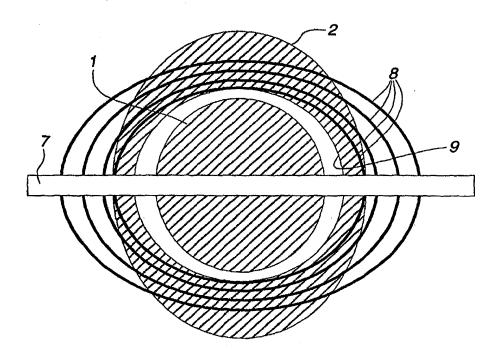
#### Published

With international search report.

(88) Date of publication of the international search report:

8 June 2000 (08.06.00)

(54) Title: A MACHINE AND A METHOD THEREFOR



(57) Abstract

A rotating elelctric machine comprising a stator (2) and a rotor (1). The stator includes a core of a magnetizable material and a winding (8). The rotor rotates in relation to the stator with one degree of freedom. The stator comprises a cup-shaped cavity (9) surrounding the rotor. The cavity is limited by a rotational surface which is formed by a line which rotates around an axis and where the line is partly arched.

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DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		





International application No.

### PCT/SE 99/01487 A. CLASSIFICATION OF SUBJECT MATTER IPC7: H02K 1/06, H02K 15/02 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC7: HO2K Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched SE,DK,FI,NO classes as above Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Х US 5204570 A (S.R. GERFAST), 20 April 1993 1-16 (20.04.93), column 1, line 49 - column 3, line 23 Α US 4620120 A (K. LAING), 28 October 1986 1-16 (28.10.86), column 1, line 39 - column 2, line 16, figure 2 US 5410232 A (KOK-MENG LEE), 25 April 1995 1 - 16Α (25.04.95), column 5, line 22 - line 64, figures 1A,B Further documents are listed in the continuation of Box C. See patent family annex. Special categories of cited documents: later document published after the international filing date or priority date and not in conflict with the application but cited to understand "A" document defining the general state of the art which is not considered the principle or theory underlying the invention to he of particular relevance erlier document but published on or after the international filing date document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive document which may throw doubts on priority claim(s) or which is step when the document is taken alone cited to establish the publication date of another citation or other special reason (as specified) document of particular relevance: the claimed invention cannot be "O" document referring to an oral disclosure, use, exhibition or other considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 07-03-2000 25 February 2000 Name and mailing address of the ISA/ Authorized officer Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Håkan Sandh/ae

Telephone No.

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# INTERNATIONAL SEARCH REPORT

International application No.
PCT/SE 99/01487

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)						
This inte	mational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:						
1-	Clairns Nos.: because they relate to subject matter not required to be searched by this Authority, namely:						
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:						
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).						
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)						
This International Searching Authority found multiple inventions in this international application, as follows:							
I. II. III.	Claims 1-16 relate to a rotating electric machine in which the stator comprises a rounded cavity surrounding the rotor at all sides Claims 17-18 relate to a rotating electric machine in which when powered a balanced three-dimensionally directed field operates between the stator and the rotor. Claims 19-20 relate to a rotating electric machine in which the stator surrounds the rotor at all sides and the winding comprises a cable.						
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.						
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.						
3.	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:						
4. X	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-16						
Remark	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.						



International application No.

PCT/SE 99/01487

## Information on patent family members

02/12/99

Patent document cited in search report			Publication date	Patent family member(s)	Publication date
US	5204570	Α	20/04/93	NONE	
US	4620120	Α	28/10/86	DE 3538506 A,C GB 2182498 A,B	05/06/86 13/05/87
US	5410232	Α	25/04/95	NONE	en cada como como como como como como como com